### ENTITY SELF-CERTIFICATION FORM FATCA & CRS

#### Introduction

Under Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS), we are required to determine the tax residence status of our customers. If you are a US Person or tax resident outside the country where your account is held, we may need to give the national tax authority your account information, which may then be shared with different countries' tax authorities.

Please complete this Self-Certification Form so that we hold accurate and up to date information about your tax residence status. If there are subsequent changes to your circumstances, please let us know by providing an updated Self-Certification Form.

Please provide all the information as required in this Self-Certification Form. For declaration of your entity status, you may refer to the table below for the section that may be relevant to your entity.

TYPE OF ENTITY	RELEVANT SECTIONS
Financial Institution or Investment Entity	Part 1 and/or Part 2 (where applicable)
Non-Financial Institution	Part 2 only
U.S. Entity	Part 1(b) or Part 2 Please also complete US IRS Form W-9
Sole-Proprietorship owned by an Individual	Please complete the Self Certification on Tax Residency Status (Individual) Form instead

If you have any questions on how to determine your tax residence status, please consult a professional tax adviser as we are not allowed to give tax advice. You may also refer to the information provided below.

Any US tax matters set out in this Self-Certification Form is not intended or written to be used, and cannot be used, in connection with the promotion, marketing or recommendation by any person for any of the matters set out in this Self-Certification Form or for the purpose of avoiding U.S. tax-related penalties.

#### More information on FATCA and CRS

For FATCA, please refer to: http://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA

For CRS, please refer to: http://www.oecd.org/tax/automatic-exchange/

# ENTITY SELF-CERTIFICATION FORM FATCA & CRS

Name	e of Entity				
Regis	tered Address of Entity				
Coun	try of Incorporation				
Please	list <b>all</b> jurisdictions where the Ent	ity is a resident for tax purposes and the respective TIN	for each jurisdiction.		
	COUNTRY/JURISDICTION OF TAX RESIDENCE	TAX IDENTIFICATION NUMBER (TIN)	IF NO TIN IS AVAILABLE,PLEASE INDICATE REASON A, B OR C		
1					
2					
3					
	Entity is not a tax resident of a	any country, please indicate above its place of ef	fective management or country in which		
<b>Reaso</b> The co		pay tax does not issue TINs to its residents.			
Reason B The Entity is otherwise unable to obtain a TIN or equivalent number. Please explain why you are unable to obtain a TIN in the below table if you have indicated this reason.					
Reason C No TIN is required. This reason only applies if the authorities of the country of tax residence indicated do not require the TIN to be disclosed.					
Explanations for Reason B					
1					
2	2				
3	3				
• If the Entity's country(ies) of tax residence did not include the Entity's country of incorporation or country of registered address, please tick the following box as confirmation:					
The Entity is not a tax resident of the country(ies) where it is incorporated in or having a registered address. By checking this box, the Entity also confirms that it is not a tax resident of any countries other than those declared above.					
<ul> <li>In the absence of the above confirmation, DBS may have to disclose the Entity's account information to the tax authority based on information held in our records. In addition, the Entity may not be able to open additional accounts with us.</li> </ul>					

1. FINANCIAL INSTITUTION			
(a) FATCA Status (Not Applicable for U.S. Entity)			
A 🗌	<ul> <li>The Entity is a Participating Foreign Financial Institution and</li> <li>Its GIIN (Global Intermediary Identification Number) is</li> </ul>	Participating FFI	
	• • • •		
В	This is a Non-Participating Foreign Financial Institution	Non-Participating FFI	
c 🗌	<ul> <li>Other types of Foreign Financial Institutions.</li> <li>Please complete and submit the appropriate US IRS Form W-8</li> </ul>		
(b) CRS	Status		
D 🗌	It is an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution	Non-Participating FIIE	
	Please also complete Part 3 and the Self Certification on Tax Residency Status (Individual) Form for all Controlling Persons.		
E 🗌	• It is an Investment Entity other than (D) above.	FIIE	
F 🗌	• It is a Depository Institution, Custodial Institution or Specified Insurance Company	Financial Institution	
2. NON	I-FINANCIAL INSTITUTION		
(a) Activ	ve Non-Financial Institution		
		FATCA Status	CRS Status
G 🗌	Active Business  It derives less than 50% of its gross income (for the previous calendar year) from passive income such as investments, dividends, interests, rents or royalties, and  Less than 50% of its assets are used to produce passive income for the previous calendar year.	Active NFFE	Active NFE Others
н 🗆	<ul> <li>Start-up Company</li> <li>It is just starting and not yet in operations, and has no prior operating history; and</li> <li>It is investing capital into assets with the intention to operate a business other than that of a Financial Institution; and</li> <li>The date of its incorporation is not more than 24 months prior to the date of this Self-Certification Form</li> </ul>	Active NFFE Excepted Nonfinancial Start-Up Company	Active NFE Others (Start-up NFE)
I [	<ul> <li>Charity/ Non-profit Organization</li> <li>It is a Charity/ Non-profit organisation established and maintained exclusively for: <ul> <li>Religious, charitable, scientific, artistic, cultural or educational purposes, or</li> <li>Professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civil league or an organisation operated exclusively for promotion of social welfare</li> </ul> </li> <li>The Charity/Non-profit organisation fulfills all the following conditions: <ul> <li>Exempt from income tax in its jurisdiction of residence;</li> <li>Has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> <li>Its formation documents or the local law do not permit the distribution of any income or assets to or for the benefit of a private person or non-charity entity except for payment as reasonable compensation for services or distribution in accordance with the charity's charitable activities; and</li> <li>Its formation documents or the local law require all of the charity's assets to be distributed to a government entity or other non-profit organisation upon the charity's dissolution or liquidation.</li> </ul> </li> </ul>	Active NFFE Non-profit Organisation	Active NFE Others (Non-profit NFE)

(08/2018)

		FATCA Status	CRS Status
J 🗌	Public Sector Entity  It is one of the following: Government Agencies Statutory Boards Entities owned by Statutory Boards Public Sector entities Foreign Embassies or Trade Representative Offices	Active NFFE Entity wholly owned by exempt beneficial owners	Active NFE Governmental Entity or Central Bank
к 🗆	<ul> <li>International Organisation</li> <li>It is an intergovernmental organisation or a supranational organisation comprised primarily of governments; and</li> <li>It has in effect a headquarters or substantially similar agreement with the jurisdiction; and</li> <li>Its income does not inure to the benefit of private persons.</li> </ul>	Active NFFE International Organisation	Active NFE International Organisation
L 🗆	<ul> <li>Publicly Listed Company or its Related Entity</li> <li>Its stock is regularly traded on one or more established securities markets, or</li> <li>It is an affiliate (in other words, a member) of an entity the stock of which is regularly traded on an established securities market</li> </ul>	Active NFFE Publicly traded NFFE or NFFE affiliate of a publicly traded corporation	Active NFE Publicly traded NFE
М	<ul> <li>Treasury / Financing Centre of Corporate Groups</li> <li>It engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and</li> <li>The group of such Related Entities is primarily engaged in a business other than that of a Financial Institution, and</li> <li>It does not provide financing or hedging services to any entity that is not a Related Entity</li> </ul>	Active NFFE Excepted nonfinancial group entity	Active NFE Others (Treasury/ Financing centre of a nonfinancial group)
N 🗆	<ul> <li>Holding Company of Nonfinancial Groups</li> <li>Substantially all of the activities of the entity consist of holding the outstanding shares of, or providing financing and services to, one or more subsidiaries that are not Fls; and</li> <li>It does not function (or hold itself out) as an investment fund, e.g. private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.</li> </ul>	Active NFFE Excepted nonfinancial group entity	Active NFE Others (Holding NFEs that are members of a nonfinancial group)
o 🗆	<ul> <li>Liquidating or Emerging from Bankruptcy Company</li> <li>It was not an FI in the past five years; and</li> <li>It is in the process of liquidating its assets or is re-organizing with the intent to continue or recommence operations in a business other than that of an FI.</li> </ul>	Active NFFE Excepted nonfinancial entity in liquidation or bankruptcy	Active NFE Others (Liquidating or emerging from bankruptcy NFE)
P 🗌	If your FATCA classification is none of the above, kindly complete and submit the appropriate US IRS Form W-8.		
(b) Pass	ive Non-Financial Entity		
Q	Passive Investment Entity  It derives more than 50% of its gross income (for the previous calendar year) from passive income such as investments, dividends, interests, rents or royalties.  Please also complete Part 3 and the Self Certification on Tax Residency Status (Individual) Form for all Controlling Persons.	Passive NFFE	Passive NFE

3. DECLARATION OF CONTROLLING PERSONS <sup>1</sup>		
This section is only applicable if you have selected D in Part 1(b) or Q in Part 2. Please complete for all Controlling Persons. In addition, each Controlling Person is to provide the tax residence information by completing the Self Certification on Tax Residency Status (Individual) Form.		
Controlling Person		
Name (please underline surname):		
Type of Controlling Person: Please select only 1 type.		
☐ Legal person – by ownership	Legal arrangement (non-trust) – settlor-equivalent	
$\square$ Legal person – by senior managing official	Legal arrangement (non-trust) – trustee-equivalent	
Legal person – by other means	Legal arrangement (non-trust) – protector-equivalent	
☐ Trust – settlor	Legal arrangement (non-trust) – beneficiary-equivalent	
☐ Trust – trustee	Legal arrangement (non-trust) – other-equivalent	
☐ Trust – protector		
Trust – beneficiary		
Trust – other		
Controlling Person		
Name (please underline surname):		
<b>Type of Controlling Person:</b> Please select only 1 type.		
Legal person – by ownership	Legal arrangement (non-trust) – settlor-equivalent	
Legal person – by senior managing official	Legal arrangement (non-trust) – trustee-equivalent	
Legal person – by other means	Legal arrangement (non-trust) – protector-equivalent	
☐ Trust – settlor	Legal arrangement (non-trust) – beneficiary-equivalent	
☐ Trust – trustee	Legal arrangement (non-trust) – other-equivalent	
☐ Trust – protector		
Trust – beneficiary		
Trust – other		
Controlling Person		
Name (please underline surname):		
<b>Type of Controlling Person:</b> Please select only 1 type.		
Legal person – by ownership	Legal arrangement (non-trust) – settlor-equivalent	
Legal person – by senior managing official	Legal arrangement (non-trust) – trustee-equivalent	
Legal person – by other means	Legal arrangement (non-trust) – protector-equivalent	
Trust – settlor	Legal arrangement (non-trust) – beneficiary-equivalent	
Trust – trustee	Legal arrangement (non-trust) – other-equivalent	
☐ Trust – protector		
Trust – beneficiary		
Trust – other		

**DBS** 

<sup>&</sup>lt;sup>1</sup>Controlling Persons means the natural persons who exercise control over an Entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions.

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Controlling Person		
Name (please underline surname):		
Type of Controlling Person: Please select only 1 type.		
Legal person – by ownership	Legal arrangement (non-trust) – settlor-equivalent	
Legal person – by senior managing official	Legal arrangement (non-trust) – trustee-equivalent	
Legal person – by other means	Legal arrangement (non-trust) – protector-equivalent	
☐ Trust – settlor	Legal arrangement (non-trust) – beneficiary-equivalent	
☐ Trust – trustee [	Legal arrangement (non-trust) – other-equivalent	
☐ Trust – protector		
Trust – beneficiary		
☐ Trust – other		
AGREEMENT AND SIGN-OFF		
1. I agree that DBS Bank Ltd ("DBS") may disclose and transfer to its branches, subsidiaries, affiliates or representative offices located in any jurisdiction the information contained in this form, and any information relating to the Account Holder's accounts and products with DBS, in connection with or to facilitate their compliance with applicable laws and regulations.		
<ol> <li>I certify that the information above is true, accurate and complete. If any information changes, I shall inform DBS within 30 calendar days of the change, and provide supporting documents if it is required by DBS.</li> </ol>		
3. I consent to DBS collecting, using and disclosing information (in authority or agency) that I have provided for the purpose of con	cluding disclosing information to any Singapore or other government nplying with applicable laws and regulations.	
4. I certify that I am authorized to sign this form for the Account Holder and warrant that I have obtained the consent of any individual named in this form or have the right to consent on his/her behalf to such collection, use, disclosure and processing of his/her personal data by DBS for the purposes of this certification.		
Name:	Name:	
Signature:	Signature:	
Date (DD/MM/YYYY):	Date (DD/MM/YYYY):	
Name:	Name:	
Signature:	Signature:	
Date (DD/MM/YYYY):	Date (DD/MM/YYYY):	