



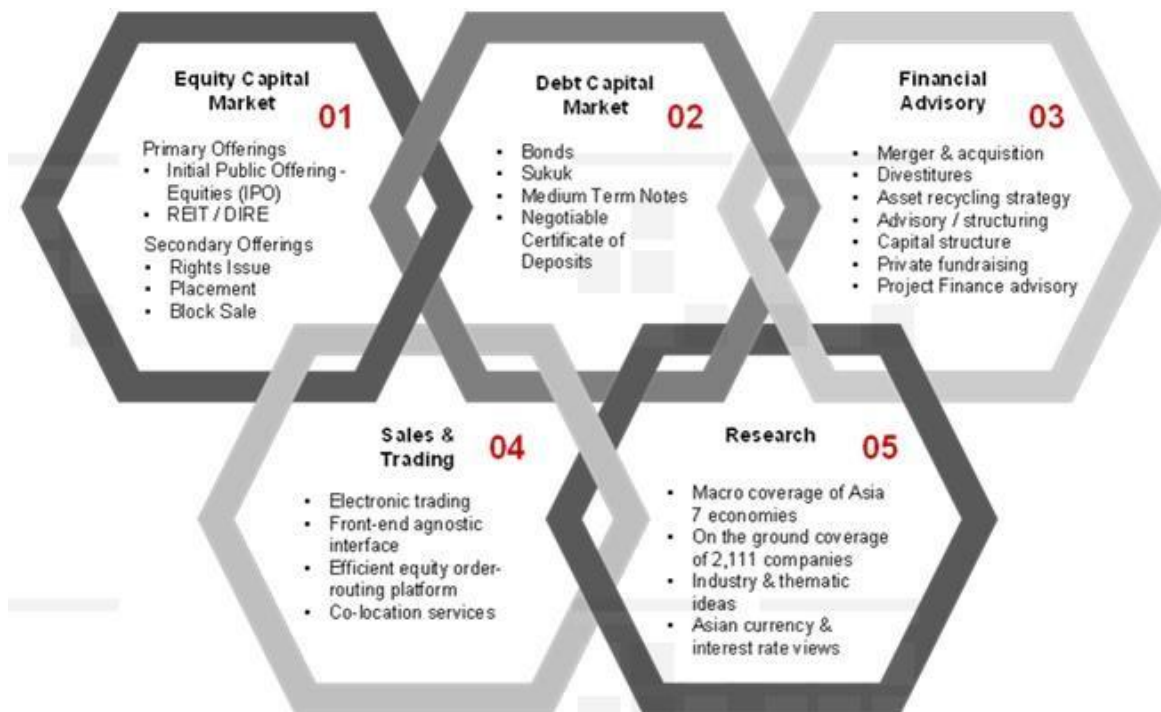
## COMPANY INFORMATION

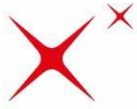
### PT DBS VICKERS SEKURITAS INDONESIA (“DBSVI”)

DBSVI was established on 27 January 1989 and has the licenses from the Financial Services Authority (*Otoritas Jasa Keuangan – “OJK”*) to conduct brokerage, capital market, underwriting and advisory activities. DBSVI is a member of Indonesia Stock Exchange with broker code DP.

DBSVI obtained its brokerage, underwriting and investment management licenses from the Chairman of the Capital Market and Financial Institutional Supervisory Agency (*Badan Pengawas Pasar Modal dan Lembaga Keuangan – “Bapepam LK”*) – now OJK, through decision letters No. KEP-130/PM/1992 dated 9 March 1992, KEP-14/PM/1995 dated 26 May 1995, and KEP-04/PM/MI/2005 dated 4 February 2005, respectively.

DBSVI five pillars of business are as follows:





## **BOARD OF COMMISSIONERS**

### **Edwin Ewe Guan Hock**

Edwin is currently heading the capital markets business for the ASEAN markets and he is responsible for advisory and capital markets activities in the region including structuring and executing equity fund raising activities for companies, Real Estate Investment Trusts and Business Trusts.

Edwin graduated from London School of Economics and Political Science with a Masters in Economics.

Edwin has completed Continuing Education of BOC of Securities Company in 2021.



### **Drs. Suryo Danisworo H. MA**

Suryo was in Integrated Governance Committee since 2017 and since 2016 as members of Audit and also Risk Committee of DBS Indonesia before joining PT DBS Vickers Sekuritas Indonesia in March 2019.

Suryo is a professional in financial industry with over 42 years of experience. Starting his career in Branch Banking Management, then it spanned to Venture Capital, International & Correspondence Banking, Treasury, multiple Senior Executive Positions, and managing Commercial and Investment Banking Business. Suryo holds a Master Degree from Ryukoku University, Kyoto, Japan. Suryo is also a Certified GRC Oversight Professional (GRCOP), a Certified Governance Professional (CGP) and a Certified Behavioral Analyst, Quantum Quality International.

Suryo has completed Continuing Education of BOC of Securities Company on 2019.





## **BOARD OF DIRECTORS**

### **Ray Aditya Laksana**

Ray has more than 15 years of work experience in the financial industry, having started his career at Citibank in 2006. He joined DBS Advisory Banking in 2011, successfully raised bonds for various clients with some notable deals being bond issuances for property and telecommunication companies.

Prior to joining PT DBS Vickers Sekuritas Indonesia in 2018, Ray worked with DBS Singapore to focus on Indonesia Stock Exchange (IDX) and Singapore Exchange (SGX) equity capital markets. He was involved in various IPOs of clients. Ray holds Master of business administration (MBA) from Asian Institute of Management and WPPE license from 2018. He is also a CFA and FRM charter holder. Ray also completed Continuing Education of Board of Directors (“BOD”) of Securities Company in 2020.



### **Mas Mokhamad Sudarmaji (“Aji”)**

Aji has more than 24 years of work experience in IT area in banking and securities. He had previous positions as Head of IT in bank and telecommunication. Aji holds Master in IT and is a member of IDX Committee of Information Technology and Risk Management.

Aji holds WPPE license from 2021 and WPPE license from 2017. He also completed Continuing Education of BOD of Securities Company in 2020.



### **Andreas Oen**

Andreas has more than 20 years of work experience in securities and real estate industry. Andreas obtained his bachelor’s degree in economics from University of California, Irvine, USA. He also holds WPPE license. He started his career in the real estate industry with CB Richard Ellis in the US.

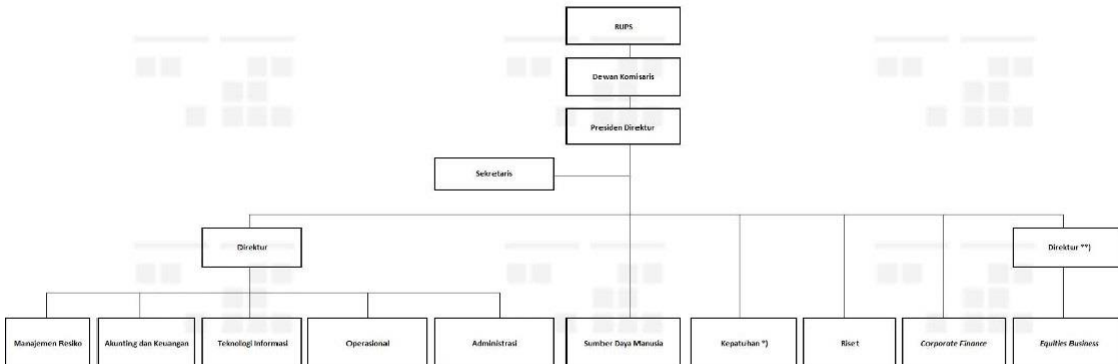
Prior to joining PT DBS Vickers Sekuritas Indonesia, Andreas worked as Head of Institutional Equity Sales of PT Maybank Sekuritas Indonesia, Institutional Equity Sales of PT CGS-CIMB Sekuritas Indonesia, and Head of Investor Relation of PT Bumi Serpong Damai, Tbk.





## ORGANISATION STRUCTURE

Struktur Organisasi  
**PT DBS Vickers Sekuritas Indonesia**  
16 Agustus 2022



**Ray Aditya Laksana**  
Presiden Direktur

**Mas Mokhamad Sudarmaji**  
Direktur

Keterangan :  
\*) Kepala Kepatuhan menjabat sebagai Pejabat APU PPT  
\*\*) Direktur baru

## COMMITTEE

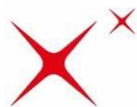
### Risk Management Committee ("RMC")

The RMC provides comprehensive wide oversight and decision relating to risk management of operational risk, credit risk, market risk, liquidity risk, legal risk, reputational risk, strategic risk, and compliance risk.

Key responsibilities:

- Perform top-down assessment and monitoring of critical risk exposures.
- Review critical risk issues arising from business units and support units, provide direction and monitor issue resolution.
- Oversee and ensure compliance to DBSV Risk Policy Guide and local regulations
- Report periodically to the DBSV RMC on its' overall risk profiles, material changes in the markets it operates, and required changes in risk management processes

The Committee shall meet periodically or as and when needed



Live more, Bank less

## **FINANCIAL STATEMENTS**

All financial statements are reported to Indonesia Stock Exchange (IDX), please click on the menu “Laporan Keuangan” in the IDX website [\[here\]](#) for details.

## **LICENSED PERSONS**

	<b>Director</b>	
<u>Licensed</u>		<u>Number</u>
Broker Dealer (“WPPE”)		2
Underwriter (“WPEE”)		2
	<b>Staff</b>	
<u>Licensed</u>		<u>Number</u>
Investment Manager (WMI)		9
Underwriter (WPEE)		5
Broker Dealer (WPPE)		15
Trading Broker Dealer (WPPEP)		2

*(Updated as of 31 December 2022)*

For more information on licensed persons, please submit your request [here](#).