

## SELF-CERTIFICATION ON TAX RESIDENCY STATUS

### Particulars of Customer

Name (as in NRIC/Passport) .....

NRIC/Passport Number ..... Date of Birth ..... (DD-MM-YYYY)

Residential Address (as per our records and should not be a P.O. Box)

.....

..... Postal Code .....

State ..... Country .....

### US Tax Residency Status (Please tick [ ] one of the boxes only)

For US federal income tax purpose, I represent and warrant that:

- I am not a US Person<sup>1</sup> / Tax Resident<sup>2</sup> / Green Card Holder.  
Please provide one of the following documents:
- copy of non-US passport; or
  - non-US government issued identification.

Additionally, if you were born in the US, please provide:

- copy of certificate of loss of nationality of the US; or
- reasonable explanation that you do not have such a certificate:

.....

.....

- I am a US Person<sup>1</sup> / Tax Resident<sup>2</sup> / Green Card Holder.  
Please provide your US Taxpayer Identification Number (TIN)<sup>3</sup> or Social Security Number (SSN):

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- I confirm that I am **no longer a US Person**<sup>1</sup>.  
Please provide the following documents:
- copy of non-US passport, and
  - one of the following:
    - a) copy of certificate of loss of nationality of the US; or
    - b) I-407 Form; or
    - c) reasonable explanation that you do not have such a certificate:
- .....
- .....

### Other Tax Residency Status

Please complete the following indicating (a) **all** jurisdictions where you are a resident for tax purposes and (b) your TIN for each jurisdiction indicated.

Country/Jurisdiction of Tax Residence <sup>2</sup>	Tax Identification Number (TIN) <sup>3</sup> or equivalent number	If no TIN <sup>3</sup> available, enter Reason* A, B, or C	Explanation for Reason B (e.g. unemployed, minor, etc.)
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.....	.....	.....	.....
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**\*Reasons:**

- A – I am a resident of a country/jurisdiction which does not issue TINs to its residents.
- B – I am otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN if you have selected this reason.)
- C – TIN is not required. (Note: To be selected only if the law of the country of tax residence stated above does not require the TIN to be collected.)

- Please provide a copy of the government-issued document to support your tax residence information (e.g. identity card, passport, employment pass/work permit or documents from the tax authority showing recent filing of income tax). More than one supporting document may be required if you are declaring yourself as a tax resident of more than one country/jurisdiction.
- If your country/jurisdiction of tax residence did not include the country of your residential address, please tick the following box as confirmation:
  - I am not a tax resident of the country(ies) where I am residing. By checking this box, I also confirm that I am not a tax resident of any countries other than those I have declared above.
- In the absence of the above confirmation, DBS Vickers may have to disclose your account information to tax authority based on your information held in our records. In addition, you may not be able to open additional accounts or purchase investments with us.

### Notes:

<sup>1</sup> Definition of "US Person"

Please refer to <https://www.irs.gov/individuals/international-taxpayers/classification-of-taxpayers-for-u-s-tax-purposes> on how to determine if you are a US person.

<sup>2</sup> Definition of "Tax Resident"

Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if you are a resident in the jurisdiction on the following website: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>. For more information on tax residence, please consult your tax adviser or the information at the OECD automatic exchange of information portal mentioned above.

<sup>3</sup> TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual and is used to identify the individual for the purpose of administering tax laws of such jurisdiction.

### Self Certification

1. I agree that DBS Vickers Securities (S) Pte Ltd ("DBSV") may disclose and transfer to its branches, subsidiaries, affiliates or representative offices located in any jurisdiction the information contained in this form, and any information relating to my accounts and products with DBSV, in connection with or to facilitate their compliance with applicable laws and regulations.
2. I certify that the information above is true, accurate and complete. If any information changes, I shall immediately inform DBSV within 30 calendar days of the change, and provide supporting documents if required.
3. I consent to DBSV collecting, using and disclosing information (including disclosing information to any Singapore or other government authority or agency) that I have provided for the purpose of complying with applicable laws and regulations.

Signature of Customer          Date	Attended by          Name of Verifying Officer/Date
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