

# APPLICATION FOR OPENING OF SECURITIES ACCOUNT (INDIVIDUAL / JOINT)

**IMPORTANT:** PLEASE READ INSTRUCTIONS OVERLEAF. ALL FIELDS ARE MANDATORY UNLESS STATED OTHERWISE  
(Faxed and scanned copies are not acceptable)



Please complete in BLOCK letters and tick  where applicable.

Individual Account       Joint-Alternate Account       Joint-AND Account  
(Requires either account holder to sign)      (Requires both account holders to sign)

## PART I: APPLICANT

Name (as in NRIC / Passport)				
NRIC / Passport Number	Country of Citizenship	<input type="checkbox"/> Singapore PR <input type="checkbox"/> Non-Singapore PR	Date of Birth (DD/MM/YYYY)	Gender <input type="checkbox"/> Male <input type="checkbox"/> Female
Home Telephone	Office Telephone	Mobile Phone	Email Address	
Residential Address (as in NRIC)				
Postal code				
Mailing Address (if different from Residential Address)				
Postal code				
Corporate Actions Mailing Address in Singapore (if Mailing Address is not an address in Singapore)				
Postal code				
Please tick <input checked="" type="checkbox"/> to apply for: <input type="checkbox"/> CDP Internet Service				

## PART II: JOINT APPLICANT (where applicable)

Name (as in NRIC / Passport)				
NRIC / Passport Number	Country of Citizenship	<input type="checkbox"/> Singapore PR <input type="checkbox"/> Non-Singapore PR	Date of Birth (DD/MM/YYYY)	Gender <input type="checkbox"/> Male <input type="checkbox"/> Female
Home Telephone	Office Telephone	Mobile Phone	Email Address	
Please tick <input checked="" type="checkbox"/> to apply for: <input type="checkbox"/> CDP Internet Service				

## PART III: CDP DIRECT CREDITING AND PHONE SERVICES (Direct Crediting Service is mandatory)

Please provide a Singapore dollar bank account with one of the following banks in Singapore. <input type="checkbox"/> Citibank <input type="checkbox"/> DBS / POSB <input type="checkbox"/> HSBC <input type="checkbox"/> OCBC <input type="checkbox"/> Standard Chartered <input type="checkbox"/> UOB Bank A/c No: _____	Please tick <input checked="" type="checkbox"/> to apply for: <input type="checkbox"/> CDP Phone Service
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## PART IV: FOREIGN TAX RESIDENT INFORMATION

Are you a foreign tax resident?     Yes     No

If yes, please list the country or countries in which you are a tax resident and provide Foreign Tax Identifying Number.

Applicant		Joint Applicant	
1) _____ Country	Foreign Tax Identifying Number: _____	1) _____ Country	Foreign Tax Identifying Number: _____
2) _____ Country	Foreign Tax Identifying Number: _____	2) _____ Country	Foreign Tax Identifying Number: _____
3) _____ Country	Foreign Tax Identifying Number: _____	3) _____ Country	Foreign Tax Identifying Number: _____

Please provide us with a completed Form W-9 if you are a US tax resident. Form W-9 is available at <http://www.irs.gov/Businesses/Corporations/FATCA-Related-Forms>

## Declaration by Securities Account Applicant

I/We hereby make the declarations stated in 'Declaration by Securities Account Applicant' overleaf and have read and understood the applicable Terms & Conditions for the services to be provided by CDP, described overleaf, and agree to be bound by them.

_____ Signature Name	_____ Signature (Joint Account Holder, if Applicable) Name	_____ Date
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## TO BE COMPLETED BY SGX-ST MEMBER COMPANY OR CDP AUTHORISED REPRESENTATIVE (CDP Authorised Representatives include Notary Public, Advocates and Solicitors, Commissioner for Oath, Singapore Embassy and Justice of Peace)

I/We confirm that I/we have witnessed and verified the particulars and signature(s) of the above Securities Account applicant(s).

Authorised Signature: (Please affix Company Stamp)	Name:	Designation:	Date:
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## FOR CDP USE

Received by: Date:	Input by: Date:	Verified by: Date:	Securities Account Number:
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## **INSTRUCTIONS**

### **Application for Securities Account**

1. Applicant must submit the application personally to SGX CDP or through SGX-ST Member Company (Broker). SGX CDP Customer Service is located at 9 North Buona Vista Drive, #01-19/20 The Metropolis, Singapore 138588. The list of SGX-ST Member Company can be found at SGX website at [sgx.com](http://sgx.com).
2. Please produce the original supporting documents during application. The documents required can be found at [sgx.com/cdp](http://sgx.com/cdp).
3. Applicants residing overseas must submit this application form together with true copy of the supporting documents certified by CDP Authorised Representative. The signature of the applicant on this application form must be witnessed by the CDP Authorised Representative.
4. Information captured on the form will be updated in CDP's record.
5. All fields in Part I and II are mandatory unless stated otherwise. You are required to provide at least one valid contact number.
6. Please countersign against any amendments.
7. We will inform you once your securities account is opened.
8. If you do not wish to receive information on products and services other than your depository account, please complete the Direct Marketing Withdrawal Form available at [sgx.com/cdp](http://sgx.com/cdp).

### **Part I: Information of Applicant**

9. To be completed if you are the sole applicant or first-name joint applicant.
10. If the mailing address is an overseas address, please maintain a local Corporate Actions mailing address so that documents arising from Corporate Actions can be sent to you.

### **Part II: Particulars of Joint Applicant**

11. To be completed if you are the joint applicant.

### **Part III: Application for CDP Services**

12. Direct Crediting Service (DCS) allows you to receive your Singapore Dollar dividend payments and other cash distributions directly into your designated bank account. Please note that DCS is mandatory.
13. CDP Internet Service and CDP Phone Service allow you online access to your account information. For more information on these services, visit us at [sgx.com/cdp](http://sgx.com/cdp). An Internet Password / or Phone-PIN will be sent to your mailing address if you have applied for it.
14. For CDP Internet Service application, a OneKey Token and password will be sent to the residential address for Singaporeans / PRs or mailing address for non-PR foreigners if he does not have one.
15. Phone-PIN is not applicable for Joint-AND account holders.

### **Declaration by Securities Account Applicant**

1. I/We declare that I/we am/are each not under 18 years of age or an undischarged bankrupt. I/We hereby request you to open and maintain a Securities Account and hereby warrant that I/we have full capacity and authority to make this application and the information given in this application, including the tax identifying number(s), is true and correct.
2. I/We have read and understood the Terms and Conditions therein form part of my/our application for the opening of a Securities Account with CDP.
3. I/We agree that the Securities Account will be operated in accordance with Terms & Conditions for operation of Securities Account and all applicable Terms & Conditions for various services below in force from time to time.
4. For purposes of processing this application and to effect direct crediting services to my/our bank account in the participating bank specified in Part III of this form ("Bank"), I/we authorise CDP to provide a disclosure to, or seek verification from, my/our Bank without further reference to me/us in relation to all the information contained herein (as updated or amended from time to time) and such other information as may be required to effect direct crediting services to my/our bank account. I/We understand that CDP reserve the right to close the securities account if the bank account provided in this form is found to be invalid.
5. I/We authorise CDP to collect and use my/our personal data (including stipulated information), and to disclose such data to stipulated persons, for stipulated purposes, in all cases in accordance with the Terms & Conditions for Operation of Securities Account.

### **CDP Terms & Conditions**

Securities account opening and application for CDP services are subject to the following Terms and Conditions which are available at [sgx.com/cdp](http://sgx.com/cdp):

1. Terms & Conditions for Operation of Securities Account with The Central Depository (Pte) Limited.
2. Terms & Conditions for The Central Depository (Pte) Limited to act as Depository for Foreign Securities.
3. Terms & Conditions for Electronic and Phone Services; or any amendment or consolidation thereof.